

## X. ANNEXES

### 1. Social and Environmental Screening Template

Project Information

<i>Project Information</i>	
1. Project Title	Low Emission Capacity Building Programme Phase II - Vanuatu
2. Project Number	00097731
3. Location (Global/Region/Country)	Vanuatu

#### Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

**QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?**

***Briefly describe in the space below how the Project mainstreams the human-rights based approach***

The project supports the meaningful participation and inclusion of all stakeholders, during the design, implementation and monitoring of the project. They will participate to capacity development activities and the project will support the development of an enabling environment conducive to the participation of stakeholders in the management of natural resources. This approach is consistent with the participation and inclusion of human rights principle.

During the project formulation, consultation sessions and meetings have been conducted with key stakeholders to exchange experience and knowledge and to assess the baseline of the project. It is anticipated that these consultations, cooperation and coordination efforts during the formulation of the project will prove to be effective in generating efficient and effective stakeholder engagement during project implementation. Such consultations also assure that the interest of potentially marginalized individuals and groups are taken into account in the implementation. The approach for stakeholder engagement is consistent with a human rights-based approach to development programming. The capacities of project beneficiaries (rights holders) will be strengthened for each specific project outcome indicators through institutional arrangements that will be established through the project. In addition, specific project outcome indicators will strengthen the capacities of government (i.e. the duty bearers) through implementation of institutional frameworks.

***Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment***

Gender sensitivity and gender considerations have been taken into account in the formulation of the project, proposing gender sensitive approaches where needed, including the need to pay attention to gender equality. Every effort will be made to incorporate gender issues in the implementation of this project. Roles of men and women to participate in activities of the project will be equally assigned without any discrimination. The project will take steps to ensure that women account for at least 40% of all training and capacity building in the project. Moreover, the project will strengthen data collection and monitoring programmes – gender segregation of data collection and monitoring will be introduced as a basis for ensuring long-term gender benefits. This gender inclusive project – which is part of the UNDAF 2013-2017 – will foster gender equality in environmental management and women's

empowerment and participation in environmental management. This approach will facilitate a focus on gender-based environmental issues and gender-based solutions.

***Briefly describe in the space below how the Project mainstreams environmental sustainability***

The project will build upon the institutional capacities that were established through the national communications and other climate change mitigation and adaptation initiatives and provide continued assistance to Vanuatu in meeting its commitments under the NDCs. This is in accordance with its commitments as a non-Annex 1 Party (as mandated by Article 4 and 12 of this Convention and COP 16 and 17 decisions). The project will also strengthen the technical and institutional capacities of relevant line ministries within Vanuatu to develop NDC implementation roadmap including MRV and NAMA feasibility studies. Through the project, Vanuatu intends to initiate the preparatory activities for moving from INDCs to Nationally Determined Contributions (NDCs). Vanuatu is interested in development of a road map and an implementation plan, an accompanying institutional framework and stakeholder capacity building for revising the INDCs to NDCs for subsequent implementation of the mitigation contributions upon receiving the conditional finance, technology and capacity building support as indicated in the submitted INDC. The project will also increase the national technical and institutional capacities and assisting the Government to integrate climate change issues into sectoral and national development priorities.

The project is well aligned with the UNDAF 2013-2017, particularly the "environmental management, climate change and disaster risk management" programme area through strengthening the national capacity to manage environmental information. It will provide better environmental information to stakeholders to make better decisions and to better monitor the environment. The project is also well aligned with the Priorities and Action Agenda for Vanuatu (PAA) 2006 – 2015 and the National Sustainable Development Plan (NSDP) 2016 – 2030; considering that the latter will strengthen the national environmental priorities for the next 15 years by establishing the environment as one of the three pillars of sustainable development in Vanuatu. Through the various components and thematic working groups, the project will contribute to strengthening the coordination between key sectors to address climate change adaptation and mitigation.


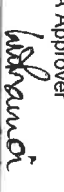

There are no environmental risks involved with the implementation of this project.

**Part B. Identifying and Managing Social and Environmental Risks**

<b>QUESTION 2: What are the Potential Social and Environmental Risks?</b> <i>Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any "Yes" responses).</i>	<b>QUESTION 3: What is the level of significance of the potential social and environmental risks?</b> <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 6</i>	<b>QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?</b>
<b>Risk Description</b>	<b>Impact and Probability (1-5)</b>	<b>Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks.</b>
Risk 1: None	I =	N/A
	N/A	N/A
		N/A

	P =	N/A	N/A	N/A	
Risk 2 : None	I = P =	N/A	N/A	N/A	
Risk 3: None	I = P =	N/A	N/A	N/A	
Risk 4: None	I = P =	N/A	N/A	N/A	
[add additional rows as needed]					
<b>QUESTION 4: What is the overall Project risk categorization?</b>					
<b>Select one (see SESP for guidance)</b>					
	<b>Low Risk</b>	<input type="checkbox"/>	<b>Low risk</b>		<b>Comments</b>
	<b>Moderate Risk</b>	<input type="checkbox"/>			
	<b>High Risk</b>	<input type="checkbox"/>			
<b>QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?</b>					
	Check all that apply				<b>Comments</b>
	<b>Principle 1: Human Rights Empowerment</b>	<input type="checkbox"/>			
	<b>Principle 2: Gender Equality and Women's Resource Management</b>	<input type="checkbox"/>			
	<b>1. Biodiversity Conservation and Natural Resource Management</b>	<input type="checkbox"/>			
	<b>2. Climate Change Mitigation and Adaptation</b>	<input type="checkbox"/>			
	<b>3. Community Health, Safety and Working Conditions</b>	<input type="checkbox"/>			
	<b>4. Cultural Heritage</b>	<input type="checkbox"/>			
	<b>5. Displacement and Resettlement</b>	<input type="checkbox"/>			
	<b>6. Indigenous Peoples</b>	<input type="checkbox"/>			
	<b>7. Pollution Prevention and Resource Efficiency</b>	<input type="checkbox"/>			

Final Sign Off

Signature	Date	Description
QA Assessor 	23/03/2017	UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
QA Approver 	23/3/17	UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
PAC Chair 		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.